

Appendix 1 – DSG Budget 2017/18 - Forecast Outturn at at November 2017

Recommended to print in A3 colour, landscape and 2 pages

All figures are presented in £'s

Figures are presented in £'s			2017/18								Main summary reason for Final Variance	
			A	Latest Budget *			B - A		C	C - B		
Block	S251 Line	Summary Line	2017/18 Original Budget	2017/18 Budget	Budget Recoupment	Total Budget	Original to Latest budget movment	Outturn Forecast	Outturn Forecast Recoupment	Total Outturn Forecast 17/18	Final Variance (under) / over	
Schools	1.0.1	Primary Schools	58,558,465	29,253,192	29,305,273	58,558,465	-	29,233,192	29,305,273	58,538,465	(20,000)	DSG allocation updated for recent academy convertors - Bournes Green Junior and Richmond Avenue. In Year - Underspend due to business rate adjustment for those Academy convertors, which the DSG is able to retain
		Secondary Schools	54,811,146	3,148,269	51,662,877	54,811,146	-	3,148,269	51,662,877	54,811,146	-	
Schools Block Total			113,369,611	32,401,461	80,968,150	113,369,611	-	32,381,461	80,968,150	113,349,611	(20,000)	
Early Years	1.0.1	2 year old provision	1,571,544	1,422,015		1,422,015	(149,529)	1,422,015		1,422,015	-	Early year forecasts held to online. Year to date payments to provider is highlighting run rates close to DSG allocation. Also need to be mindful of Early Year 201718 DSG funding adjustments which will be calculated by the DfE in July-18 and any potential clawbacks of funding
		3 and 4 y/o provision	8,163,792	7,962,984		7,962,984	(200,808)	7,962,984		7,962,984	-	
		Disability Access Fund	49,200	43,050		43,050	(6,150)	43,050		43,050	-	
		Early Years Pupil Premium	157,959	106,450		106,450	(51,509)	106,450		106,450	-	
		Early years b/fwd DSG 15/16 debtor adjustment									-	
	1.3.1	Central Expenditure on Children under 5	500,000	500,000		500,000	-	500,000		500,000	-	
Early Years Block Total			10,442,495	10,034,499	-	10,034,499	(407,996)	10,034,499	-	10,034,499	-	
High Needs	1.0.1	Place Funding - PRU - Victory Park	810,000	202,521	607,479	810,000	-	202,521	562,479	765,000	(45,000)	Place funding reduced from 81 pupils to 75 pupils from Jul-17
		Place Funding - St Christopher's Special Academy (Pre 16)	2,000,000		2,000,000	2,000,000	-		2,000,000	2,000,000	-	
		Place Funding - St Nicholas Special School	920,000	383,333	536,667	920,000	-	383,333	536,667	920,000	-	
		Place Funding - Sutton House Special School Provision	440,000	110,088	329,912	440,000	-	110,088	374,912	485,000	45,000	Place funding increased from 44 pupils to 50 pupils from Jul-17
		Place Funding - Kingsdown Special School	1,050,000	478,333	571,667	1,050,000	-	478,333	571,667	1,050,000	-	
		Place Funding - Lancaster Special School (Pre 16)	230,000	95,833	134,167	230,000	-	95,833	134,167	230,000	-	
		Place Funding - St Christopher's Special Academy (Post 16)	120,000	50,000	70,000	120,000	-	50,000	70,000	120,000	-	
		Place Funding - Lancaster Special School (Post 16)	540,000	-	540,000	540,000	-	-	540,000	540,000	-	
		Place Funding - Lancaster Special School (Post 16) (DfE Error)	-	(70,000)	70,000	-	-	(70,000)	70,000	-	-	Error corrected with DfE from Aug-17
		Place Funding - Chase Academy Special Base	120,000		120,000	120,000	-		120,000	120,000	-	
		Place Funding - Shoburness Academy Special Base	180,000		180,000	180,000	-		180,000	180,000	-	
		Place Funding - Temple Sutton Special Base	50,000	50,000		50,000	-	50,000		50,000	-	
		Place Funding - Fairways Special Base	150,000	150,000		150,000	-	150,000		150,000	-	
		Place Funding - Hamstel Infants Special Base	30,000	-	30,000	30,000	-	-	30,000	30,000	-	
		YMCA - Free School Recoupment	367,500	-	367,500	367,500	-		367,500	367,500	-	Confirmed by DfE allocation is 50, Southend pays 43 recouped from Sept-17
		CCP and FE Place Funding	564,000		564,000	564,000	-		564,000	564,000	-	94 places at £6,000
	Total Place Funding		7,571,500	1,450,108	6,121,392	7,571,500	-	1,450,108	6,121,392	7,571,500	-	
	1.2.1 / 1.2.2	Top Up Funding - St Christopher's Special Academy (Pre 16)	1,624,213	1,624,213		1,624,213	-	1,621,816		1,621,816	(2,397)	Minor variance to budget for top up allocations compared to forecast, which has now been updated following the recent Autumn head conducted by the SEN team including banded levels for pupils, expect some further fluctuations in forecast for any pupil changes between Nov-17 and the end of Mar-18.
		Top Up Funding - St Nicholas Special School	561,599	561,599		561,599	-	551,663		551,663	(9,936)	
		Top Up Funding - Kingsdown Special School	1,069,298	1,069,298		1,069,298	-	1,066,114		1,066,114	(3,184)	
		Top Up Funding - Lancaster Special School (Pre 16)	159,837	159,837		159,837	-	155,702		155,702	(4,135)	
		Top Up Funding - St Christopher's Special Academy (Post 16)	73,078	73,078		73,078	-	73,078		73,078	-	
		Top Up Funding - Lancaster Special School (Post 16)	402,009	402,009		402,009	-	418,897		418,897	16,888	
		Sub total	3,890,034	3,890,034		3,890,034	-	3,887,270		3,887,270	(2,764)	
							-				-	
		Top Up Funding - Sutton House Special School Provision	397,501	397,501		397,501		445,280		445,280	47,779	The Top up budget was based on 5 band 1 and 32 band 2 occupancy levels. Occupany from Sept -17 is 13 band1 and 24 band 2. SEN team did undertake a review meeting with Sutton house to review top up banded levels for existing pupils. This forecast reflects the latest position of the review meeting
							-				-	
		Top Up Funding - Chase Academy Special Base	54,540	54,540		54,540	-	53,177		53,177	(1,364)	Minor variance to budget for top up allocations compared to forecast, which has now been updated following the recent Autumn head conducted by the SEN team including banded levels for pupils
		Top Up Funding - Shoburness Academy Special Base	87,264	87,264		87,264	-	87,264		87,264	-	
		Sub total	141,804	141,804		141,804	-	140,441	-	140,441	(1,364)	
		Top Up Funding - Temple Sutton Special Base	43,632	43,632		43,632	-	47,874		47,874	4,242	
		Top Up Funding - Fairways Special Base	23,180	23,180		23,180	-	19,240		19,240	(3,940)	
		Top Up Funding - Hamstel Infants Special Base	21,816	21,816		21,816	-	18,786		18,786	(3,030)	
		Sub total	88,628	88,628		88,628	-	85,900	-	85,900	(2,728)	
							-				-	
		Top Up Funding - PRU - Victory Park	326,716	326,716		326,716	-	300,000		300,000	(26,716)	Payments based on Occupany Levels - currently assumed at 85% - and pupils no.s have been revised in the SLA from 57 to 51 from July-17.
							-				-	
		Top Up Funding- Flexible Top ups for additional numbers	80,000	80,000		80,000	-	80,000		80,000	-	Allocated for St Christopher's & Kingsdown
							-				-	
		Total Inborough Special and PRU Top Up Funding	4,924,683	4,924,683		4,924,683	-	4,938,891	-	4,938,891	14,208	
	1.2.1 / 1.2.2	EHCP Top ups - Early years	44,000	44,000		44,000	-	44,000		44,000	-	
							-				-	
		EHCP Top ups - Primary phase	1,350,000	1,350,000		1,350,000	-			-		Updated forecast following Autumn Term Head Count with SEN Team
		2017/18 Primary Phase - demand savings targetted	(100,000)	(100,000)		(100,000)	-					
		EHCP Top ups - Primary phase total	1,250,000	1,250,000		1,250,000	-	1,360,000		1,360,000	110,000	
							-				-	
		EHCP Top ups - Secondary phase	510,000	510,000		510,000	-					Updated forecast following confirmed Autumn Term Head Count between the SEN Team and Mainstream Schools
		2017/18 Secondary Phase - demand savings targetted	(50,000)	(50,000)		(50,000)	-					
		EHCP Top ups - Secondary phase total	460,000	460,000		460,000	-	480,000		480,000	20,000	
							-				-	
		Out of Borough Top ups	660,000	660,000		660,000	-					Updated forecast confirmed, following Autumn Term Head Count between the SEN Team - Out of Borough mainstream providers and Post 16 providers. There has been movement - where Out of Borough placements have correctly been re-allocated to POST-16 placements
		2017/18 Out of Borough - demand savings targetted	(50,000)	(50,000)		(50,000)	-					
		Out of Borough Top ups total	610,000	610,000		610,000	-	600,000		600,000	(10,000)	
							-				-	
		Post 16 Top ups	560,000	560,000		560,000	-	600,000		600,000	40,000	
							-				-	
		Total Inborough EHCP School Funding, Out of Borough and Post-16	2,924,000	2,924,000	-	2,924,000	-	3,084,000	-	3,084,000	160,000	

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All figures are presented in £'s

		2017/18									Main summary reason for Final Variance
		A	Latest Budget *		B	B - A		C		C - B	
Block	S251 Line Summary Line	2017/18 Original Budget	2017/18 Budget	Budget Recoupment	Total Budget	Original to Latest budget movment	Outturn Forecast	Outturn Forecast Recoupment	Total Outturn Forecast 17/18	Final Variance (under) / over	
	1.2.3 Top up funding - independent providers	1,125,000	1,125,000		1,125,000	-					Overspend based on current commitment - Note this is subject to material change due to the substantial costs of these placements. SEND team and Corporate Procurement to continue the work of targetted savings delivery
	2017/18 Independent provider targetted savings	(225,000)	(225,000)		(225,000)	-					
	Independent Providers total	900,000	900,000		900,000	-	1,200,000		1,200,000	300,000	
	1.2.4 HN targeted LCHI funding	30,000	30,000		30,000	-	30,000		30,000	-	
	1.2.4 HN targeted LCHI funding	20,000	20,000		20,000	-	20,000		20,000	-	
	1.2.6 Hospital Education provision	130,800	130,800		130,800	-					Estimated commitment of £100k. This includes £32k Victory Park SLA.
	2017/18 Hospital eduacation targetted savings	(60,000)	(60,000)		(60,000)	-					
	Hospital Education provision total	70,800	70,800		70,800	-	100,000		100,000	29,200	
	1.2.5 SEN Team - Assessments and Placements	422,479	422,479		422,479	-	422,479		422,479	-	
	1.2.5 Education out of School	153,100	153,100		153,100	-	153,100		153,100	-	
	1.2.5 SEN Support Services - Visually Impaired Outreach Service at Kingsdown	90,000	90,000		90,000	-	90,000		90,000	-	
	SEN Support Services - Outreach Service at St Christopher's	80,000	80,000		80,000	-	80,000		80,000	-	
	SEN Support Services - Outreach Service at Fairways	20,000	20,000		20,000	-	20,000		20,000	-	
	1.2.5 SEN Support Services - Other	24,000	24,000		24,000	-	24,000		24,000	-	
	1.2.7 Preventative Pathways SLA with Victory Park	192,000	192,000		192,000	-	172,000		172,000	(20,000)	From July - 17 the SLA is now paid on occupancy levels and current forecast from July-17 at 85% occupancy levels
	Elective Home Education Costs	8,000	8,000		8,000	-	8,000		8,000	-	
	1.2.8 Nurture Base Provision	483,000	483,000		483,000	-	483,000		483,000	0	
	2017/18 SLA savings targetted	(130,000)	(130,000)		(130,000)	-	-		-	130,000	Non - delivery of any further SLA savings in 2017/18 only. Subject to a review for 2018/19 in view of effectiveness of these SLA agreements
	Sub total	920,100	920,100	-	920,100	-	1,030,100		1,030,100	110,000	
	Total Other Provisions	1,463,379	1,463,379	-	1,463,379	-	1,602,579	-	1,602,579	139,200	
High Needs Block Total		17,783,562	11,662,170	6,121,392	17,783,562	-	12,275,578		18,396,970	613,408	
Centrally Retained	1.1.2 De-delegated - Behaviour Support	75,000	75,000		75,000	-	75,000		75,000	-	
	1.1.7 De-delegated - Licenses Subscriptions	1,245	1,245		1,245	-	1,245		1,245	-	
	1.1.8 De-delegated - Staff costs	9,900	9,900		9,900	-	9,900		9,900	-	
	1.4.1 Contribution to combined budgets	941,288	941,288		941,288	-	941,288		941,288	-	
	1.4.10 Growth Fund	690,000	690,000		690,000	-	606,991		606,991	(83,009)	Growth fund paid in full to schools for 2017/18 Financial Year
	1.4.12 CLA/MPA License	122,297	122,297		122,297	-	122,297		122,297	-	
	1.4.2 School Admissions	236,300	236,300		236,300	-	236,300		236,300	-	
	1.4.3 Servicing of School Forums	18,700	18,700		18,700	-	18,700		18,700	-	
	ESG retained	413,217	413,217		413,217	-	413,217		413,217	-	
Centrally Retained Total		2,507,947	2,507,947	-	2,507,947	-	2,424,938	-	2,011,721	(83,009)	
Total Expenditure		144,103,615	56,606,077	87,089,542	143,695,619	(407,996)	57,116,476	87,089,542	144,206,018	510,399	
											As per Latest DSG alloctions advised by DfE in November 2017
Funded From	DSG - Schools Block	(116,376,769)	(35,408,619)	(80,968,150)	(116,376,769)	-	(35,408,619)	(80,968,150)	(116,376,769)	-	
	DSG - Early Years Block (2 year olds)	(1,571,544)	(1,422,015)		(1,422,015)	149,529	(1,422,015)		(1,422,015)	-	Original DfE provisional funding allocation based on 526 PTE children no.s - DfE revised to 476 PTE children no.s
	DSG - Early Years Block (3 & 4 year olds) - universal	(7,131,542)	(6,881,534)		(6,881,534)	250,008	(6,881,534)		(6,881,534)	-	Original DfE provisional funding allocation based on 2843 PTE children no.s - DfE revised to 2743 PTE children no.s
	DSG - Early Years Block (3 & 4 year olds) - additional	(1,081,450)	(1,081,450)		(1,081,450)	-	(1,081,450)		(1,081,450)	-	
	DSG - Early Years Disability Access Fund	(49,200)	(43,050)		(43,050)	6,150	(43,050)		(43,050)	-	DfE DSG allocation Oct 2017 - updated for revised DAF allocations
	DSG - Early years funding 16/17 debtor accrual	-	-		-		200,000		200,000	200,000	As explained in the Education Board on 11.10.17 "Dedicated School Grant 2017-18 budget and forecast outturn as at October2017", these adjustments are in recognition of the 2016/17 revised funding allocations as a result of substantially reduced PTE no.s from what was anticipated
	DSG - Early years 16/17 final adjustment	-	-		-		222,000		222,000	222,000	
	DSG - High Needs Funding Block	(17,783,562)	(11,662,170)	(6,121,392)	(17,783,562)	-	(11,662,170)	(6,121,392)	(17,783,562)	-	
	DSG - Early Years Pupil Premium	(108,759)	(106,450)		(106,450)	2,309	(106,450)		(106,450)	-	
	DSG Brought Forward - to balance	(789)	(789)		(789)	-	(789)		(789)	-	
Funded From Total		(144,103,615)	(56,606,077)	(87,089,542)	(143,695,619)	407,996	(56,184,077)	(87,089,542)	(143,273,619)	422,000	
Grand Total		-	-	-	-	-	932,399	-	932,399	932,399	

* Latest budget - is based on latest DfE - DSG allocations updated in Nov 2017, updated for latest Early Years block funding 17/18 and any schools that have converted to an Academy from Apr-17 to October-17

** PTE (Part Time Equivalent). DfE defined as number of children taking up 15 hours per week over 38 weeks

DSG C/FWD from 2016/17	325,991
2017/18 Planned used above	(789)
DSG B/FWD to 2017/18	325,202

DSG B/fwd to 2017/18	325,202
Forecast 2017/18 Overspend	(932,399)
DSG Forecast C/fwd to 2018/19	(607,197)